

| FORM PTO-1449 (MODIFIED)  |    |   | ATTORNEY DOCKET NO.<br>SP01-300 |                 | SERIAL NO.<br>To Be Assigned |           |                        |
|---|----|---|---------------------------------|-----------------|------------------------------|-----------|------------------------|
| LIST OF PATENTS AND<br>PUBLICATIONS<br>FOR APPLICANTS INFORMATION<br>DISCLOSURE STATEMENT |    |   | APPLICANT Filhaber et al.       |                 |                              |           |                        |
|   |    |   | FILING DATE: Herewith           |                 | GROUP: To Be Assigned        |           |                        |
| REFERENCE DESIGNATION   |    |   | U.S. PATENT DOCUMENTS           |                 |                              |           |                        |
| Examiner Initial  |    | Document Number   | Date                            | Name            | Class                        | Sub-Class | Filing Date if Approp. |
| MRCC  | AA | 5,915,193   | 6/22/99                         | Tong et al.     | 438                          | 455       |                        |
| MRCC  | AB | 5,785,874   | 7/28/98                         | Eda             | 216                          | 24        |                        |
| MRCC  | AC | 5,932,048   | 8/3/99                          | Furukawa et al. | 156                          | 153       |                        |
| MRCC  | AD | 6,129,854   | 10/10/00                        | Ramsey et al.   | 216                          | 18        |                        |
| MRCC  | AE | 6,153,495   | 11/28/00                        | Kub et al.      | 438                          | 459       |                        |
| <del>AK</del>   |    |   |                                 |                 |                              |           |                        |
| FOREIGN PATENT DOCUMENTS  |    |   |                                 |                 |                              |           |                        |
|   |    | Document Number   | Date                            | Country         | Class                        | Sub-Class | Translation Yes No     |
| <del>AL</del>   |    |   |                                 |                 |                              |           |                        |
| <del>AM</del>   |    |   |                                 |                 |                              |           |                        |
| <del>AN</del>   |    |   |                                 |                 |                              |           |                        |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)                          |    |   |                                 |                 |                              |           |                        |
| MRCC  | AO | A. Sayah, D. Solignac, T. Cueni, "Development of novel low temperature bonding technologies for microchip chemical analysis applications," Sensors and Actuators, 84 (2000) pp. 103-108.  |                                 |                 |                              |           |                        |
| MRCC  | AP | P. Rangsten, O. Vallin, K. Hermansson, Y. Backlund, "Quartz-to-Quartz Direct bonding," J. Electrochemical Society, V. 146, N. 3, pp. 1104-1105, 1999.   |                                 |                 |                              |           |                        |
| MRCC  | AQ | H. Nakanishi, T. Nishimoto, M. Kani, T. Saitoh, R. Nakamura, T. Yoshida, S. Shoji, "Condition Optimization, Reliability Evaluation of SiO <sub>2</sub> -SiO <sub>2</sub> HF Bonding and Its Application for UV Detection Micro Flow Cell," Sensors and Actuators, V. 83, pp. 136-141, 2000. |                                 |                 |                              |           |                        |
| MRCC  | AR | A. Yamada, et al., Bonding Silicon wafer to Silicon Nitride With Spin-on Glass Adhesive, Electronics Letters, March 26, 1987, Vol. 23, No. 7.   |                                 |                 |                              |           |                        |
| MRCC  | AS | D.J. Harrison, et al., Micromachining a Miniaturized Capillary Electrophoresis-Based Chemical Analysis System on a Chip, Science 261 (1993) 895-897.  |                                 |                 |                              |           |                        |
| MRCC  | AT | W.P. Maszara, Silicon-on-insulator by Wafer Bonding: A Review, J. Electrochemical Society 138 (1991) 341-347.   |                                 |                 |                              |           |                        |
| MRCC  | AU | D-W. Shin, et al., The Stacking Faults and Their Strain Effect at the Si/SiO <sub>2</sub> Interfaces of a Directly Bonded SOI (silicon on insulator), Thin Solid Films, V. 346, pp. 169-173, 1999.  |                                 |                 |                              |           |                        |
| MRCC  | AV | Q-Y. Tong, et al., The Role of Surface Chemistry in Bonding of Standard Silicon Wafers, J. Electrochemical Society V. 144, N. 1, pp. 384-389, 1997  |                                 |                 |                              |           |                        |

EXAMINER:

*Michael R. Connely-Custura*

DATE CONSIDERED:

*9/4/03*

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FEB 25 2002

## PATENT &amp; TRADEMARK PTO-1449 (MODIFIED)

LIST OF PATENTS AND  
PUBLICATIONS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.

SP01-300

SERIAL NO.

10/035,358

APPLICANT Filhaber et al.

FILING DATE October 26, 2001

GROUP: To Be  
Assigned

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| Examiner Initial |    | Document Number | Date | Name | Class | Sub-Class | Filing Date if Approp. |
|------------------|----|-----------------|------|------|-------|-----------|------------------------|
|                  | AA |                 |      |      |       |           |                        |
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|                  | AI |                 |      |      |       |           |                        |
|                  | AJ |                 |      |      |       |           |                        |
|                  | AK |                 |      |      |       |           |                        |

## FOREIGN PATENT DOCUMENTS

| Examiner Initial |    | Document Number | Date   | Country | Class | Sub-Class | Translation Yes No |
|------------------|----|-----------------|--------|---------|-------|-----------|--------------------|
| MRCC             | AL | 52[1977]-78450  | 7/1/77 | Japan   | G02B  | 5/14      | X                  |
|                  | AM |                 |        |         |       |           |                    |
|                  | AN |                 |        |         |       |           |                    |
|                  | AO |                 |        |         |       |           |                    |
|                  | AP |                 |        |         |       |           |                    |
|                  | AQ |                 |        |         |       |           |                    |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER:

Michelle R. Connolly-Cushwa

DATE CONSIDERED:

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U.S. PATENT DOCUMENTS

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|------------------|--|-----------------|------|------|-------|-----------|------------------------|
| AA               |  |                 |      |      |       |           |                        |
| AB               |  |                 |      |      |       |           |                        |
| AC               |  |                 |      |      |       |           |                        |
| AD               |  |                 |      |      |       |           |                        |
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| AH               |  |                 |      |      |       |           |                        |
| AI               |  |                 |      |      |       |           |                        |
| AJ               |  |                 |      |      |       |           |                        |
| AK               |  |                 |      |      |       |           |                        |

FOREIGN PATENT DOCUMENTS

|      |    | Document Number | Date    | Country | Class | Sub-Class | Translation Yes | No |
|------|----|-----------------|---------|---------|-------|-----------|-----------------|----|
| MRCC | AL | P2000-56265A    | 2/25/00 | Japan   | G02B  | 27/28     | X               |    |
|      | AM |                 |         |         |       |           |                 |    |
|      | AN |                 |         |         |       |           |                 |    |
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|      | AP |                 |         |         |       |           |                 |    |
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| AJ               |  |                 |      |      |       |           |                        |
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| AL |  |                 |      |         |       |           |                    |
| AM |  |                 |      |         |       |           |                    |
| AN |  |                 |      |         |       |           |                    |
| AO |  |                 |      |         |       |           |                    |
| AP |  |                 |      |         |       |           |                    |
| AQ |  |                 |      |         |       |           |                    |

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|    | AU |   |
|    | AV |   |
|    | AW |   |

EXAMINER:

Michelle R. Comelly-Cushwa

DATE CONSIDERED:

2/11/04

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SERIAL NO.

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10/035358

APPLICANT :Filhaber, John F., et al.

FILING DATE 10/26/2001

GROUP: 2874

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| Examiner Initial |    | Document Number | Date     | Name            | Class | Sub-Class | Filing Date if Approp. |
|------------------|----|-----------------|----------|-----------------|-------|-----------|------------------------|
| MC               | AA | 4,186,999       | 2/5/80   | Harwood et al   | 350   | 96.21     | 10/25/77               |
| MC               | AB | 4,407,667       | 10/4/83  | Le Noane et al  | 65    | 3.11      | 7/20/81                |
| MC               | AC | 4,530,452       | 7/23/85  | Balyasny et al  | 225   | 96        | 8/29/84                |
| MC               | AD | 4,626,068       | 12/2/86  | Caldwell        | 350   | 96.34     | 7/29/82                |
| MC               | AE | 5,183,710       | 2/2/93   | Gerbino         | 428   | 405       | 4/23/91                |
| MC               | AF | 5,451,547       | 9/19/95  | Himi et al      | 437   | 225       | 8/25/92                |
| MC               | AG | 5,452,122       | 9/19/95  | Tsuneda et al   | 359   | 281       | 8/5/91                 |
| MC               | AH | 5,785,874       | 7/28/98  | Eda             | 216   | 24        | 8/4/95                 |
| MC               | AI | 5,852,622       | 12/22/98 | Meissner et al  | 372   | 39        | 9/11/96                |
| MC               | AJ | 5,989,372       | 11/23/99 | Momoda et al    | 156   | 89.11     | 5/7/98                 |
| MC               | AK | 6,030,883       | 2/29/00  | Nishimoto et al | 438   | 455       | 5/20/97                |
| MC               | AL | 6,048,103       | 4/11/00  | Furukata et al  | 385   | 73        | 6/3/99                 |
| MC               | AM | 6,098,429       | 8/8/00   | Mazabraud et al | 65    | 392       | 2/4/99                 |
| MC               | AN | 6,178,779       | 1/30/01  | Drouart et al   | 65    | 391       | 3/30/99                |
| MC               | AO | 6,249,619       | 6/19/01  | Bergmann        | 385   | 11        | 9/17/98                |
| MC               | AP | 6,275,336       | 8/14/01  | Yoshikawa et al | 359   | 484       | 7/30/97                |
| MC               | AQ | 6,359,733       | 3/19/02  | Iwatsuka et al  | 359   | 500       | 8/14/00                |
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| MC               | AS | 2003/0079823    | 5/1/03   | Sabia           | 156   | 99        | 9/25/02                |
| MC               | AT | 2003/0079503    | 5/1/03   | Cook et al      | 65    | 407       | 10/26/01               |

## FOREIGN PATENT DOCUMENTS

|    |    | Document Number | Date     | Country | Class | Sub-Class | Translati n<br>Yes | No |
|----|----|-----------------|----------|---------|-------|-----------|--------------------|----|
| MC | AU | DE2130905       | 1/11/73  | Germany | 01    | 19/56     |                    | X  |
| MC | AV | DE19731075      | 1/21/99  | Germany | 03    | 29/00     |                    | X  |
| MC | AW | WO01/98225      | 12/27/01 | PCT     | 03    | 27/06     | X                  |    |
| MC | AX | WO01/73831      | 10/4/01  | PCT     | 01    | 21/304    |                    | X  |
| MC | AY | WO00/17698      | 3/30/00  | PCT     | 02    | 1/09      | X                  |    |
| MC | AZ | EP1057793       | 3/13/02  | Europe  | 03    | 37/027    | X                  |    |

EXAMINER:

Michelle R. Connolly-Cushwa

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|   | APPLICANT :Filhaber, John F., et al. |                             |
|   | FILING DATE 10/26/2001               | GROUP: 2874                 |

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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| MC | BA | Arthur Landrock. "Adhesives Technology Handbook." 1985, p. 117-118.   |
| MC | BB | Yoshikawa Hiroki; Japanese abstract of 2002321947; 11/8/02 "Optical Device and Method for Producing the Same"   |
| MC | BC | Furukata; Japanese Abstract of Publication No. 08146351; 6/7/96; "Element for Optical Isolator and its Production"  |
| MC | BD | Ohashi et al; Japanese Abstract of Publication No. 03-115178; 5/16/91; "Method for Joining Aluminum or Aluminum Ceramics by Diffusion".                   |
| MC | BE | Onishi et al; "A Novel Temperature Compensation Method for SAW Devices Using Direct Bonding Techniques"; IEEE Ultrasonics Symposium; 1997; pages 227-230. |
|    | AV |   |
|    | AW |   |

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